Sheet 1 of 4A

TRANSMITTAL FORM FOR FILING PATENT APPLICATION

Attorney Docket No.: SBACK-002XX

WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP Ten Post Office Square

Boston, Massachusetts 02109 Telephone: (617) 542-2290 Telecopier: (617) 451-0313



BOX PATENT APPLICATION Commissioner for Patents Washington, D.C. 20231

Express Mail No: EV009946887US Date: December 10, 2001

First Named Inventor or Application Identifier: Vikram Pillai et al.

| Sir: | | | | | | | | | |
|---------------|---|--|--|--|--|--|--|--|--|
| Trans | mitted herewith under 37 CFR § 1.53 for filing is the patent application of: | | | | | | | | |
| Inven | tor: Vikram Pillai, Joseph Kinsella, Gregory O. Bruell | | | | | | | | |
| | led: XML FILE SYSTEM | | | | | | | | |
| į. | | | | | | | | | |
| -144 | This is a request for filing a [] continuation [] divisional | | | | | | | | |
| | [] continuation in-part application under §1.53(b) of prior Application | | | | | | | | |
| | No, filed, entitled: | | | | | | | | |
| En≎lo | sed are: | | | | | | | | |
| [X]- | 49 pages of written description, claims and Abstract, inclusive | | | | | | | | |
| | 19 sheets of [X] informal [] formal drawings of Figs. 1-30 (one set) | | | | | | | | |
| | Oath or Declaration | | | | | | | | |
| in the second | [] Newly executed (original or copy) | | | | | | | | |
| | [] Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. [X] (Unsigned) To be filed later | | | | | | | | |
| [] | Cover sheet and Assignment of the invention to: | | | | | | | | |
| [] | Certified copy of a application (if foreign priority is claimed) with letter claiming priority under Rule 55. | | | | | | | | |
| [] | Information Disclosure Statement with citations | | | | | | | | |
| [] | Preliminary amendment is enclosed. | | | | | | | | |
| [X] | Return receipt postcard | | | | | | | | |
| [X] | Small entity status is entitled to be, and hereby is, asserted for this | | | | | | | | |

application.

Attorney Docket No.:

TRANSMITTAL FORM FOR FILING PATENT APPLICATION (CONTINUED)

| [] | Other: | | | | | | | |
|--|--|---|--|--|--|--|--|--|
| [] | Priority is claimed under 35 USC § 120 as in | dicated on the attached sheet 4. | | | | | | |
| [] | Priority is claimed under 35 USC §119(a)-(d |) as indicated on the attached sheet 4. | | | | | | |
| [X] | Priority is claimed under 35 USC §119 (e) a | s indicated on the attached sheet 4. | | | | | | |
| [X] | <pre>David A. Dagg is hereby appointed As Registration No.:37,809</pre> | who the tele | | | | | | |
| | | of Record Victor B. Lebovici ation No.:30,864 | | | | | | |
| | Regiscie | 1011 NO 30, 001 | | | | | | |
| The state of the s | Power of Attorney in the originally-filed application has been granted to one or more of the registered attorneys listed below. The attorneys listed below not previously granted power in the originally-filed application, as well as, are hereby given associate power: Registration No.: | | | | | | | |
| | Stanley M. Schurgin, Reg. No. 20,979 | Beverly E. Hjorth, Reg. No. 32,033 | | | | | | |
| 2 | Charles L. Gagnebin III, Reg. No. 25,467 Victor B. Lebovici, Reg. No. 30,864 | Holliday C. Heine, Reg. No. 34,346 Gordon R. Moriarty, Reg. No. 38,973 James F. Thompson, Reg. No. 36,699 | | | | | | |
| | Cancel in this application original application before calculating the filin | | | | | | | |
| | Add in this application claims | per amendment before calculating | | | | | | |

| fee. | | | | |
|---|--|------------------------|--|------------|
| CLAIMS FILED: | MINUS BASE: | EXTRA CLAIMS: | RATE: | BASIC FEE: |
| The other way of the control of the | | ammitted 2 | And the second of the second o | \$740.00 |
| Independent | 4 - 3 | = 1 | x \$84.00 = | \$84.00 |
| Total | 16 - 20 | = 0 | x \$18.00 = | \$ 0.00 |
| [] Multiple De | \$ 0.00 | | | |
| | | SUBTOTAL | FILING FEE | \$ 824.00 |
| Small Entity filin | g, divide by 2. (S | mall Entity status mus | t be asserted.) | \$ 412.00 |
| | Company of the control of the contro | TOTAL | FILING FEE | \$ 412.00 |

lui. [X]

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TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

| [] | The | filing | fee | has | been | calculated | above; | a | check | in | the | amount | of | |
|-----|------|----------|-----|-----|------|------------|--------|---|-------|----|-----|--------|----|-------------|
| | is e | enclosed | 1. | | | | | | | | | | | |

- The filing fee will be submitted at a later date. [X]
- In the event a Petition for Extension of Time under 37 CFR §1.17 is required [X] by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.
- The Commissioner is hereby authorized to charge payment of any additional [] filing fees under 37 CFR §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

Customer Number 207

Address all future communications to:

WEINGARTEN, SCHURGIN, GAGNEBIN & LEBOVICI LLP

Ten Post Office Square

Boston, Massachusetts 02109 Telephone: (617) 542-2290 Telecopier: (617) 451-0313

Attorney of Record: David A. Dagg

Registration No. 37,809

Attorney Docket No.: SBACK-002XX

| [] | Priority is claimed under 35 USC § 120 of prior Application(s) No, filed, entitled: | | | | | | | | | | |
|---|---|---------------------------------|--|----|--|--|--|--|--|--|--|
| | [] The above-identified ap | oplication(s) i | s/are assigned of record to: | | | | | | | | |
| | Priority is claimed under 3 cation(s). | 5 USC § 119 | (a)-(d) of the followir | ıg | | | | | | | |
| | (Application Number) (Country |) | (Filing Date) | | | | | | | | |
| | (Application Number) (Country |) | (Filing Date) | | | | | | | | |
| Jones Hart Affect Hard affect Hard Street | (Application Number) (Country) [] The above-identified | | (Filing Date) is/are assigned of record to | | | | | | | | |
| | Priority is claimed under 35 Uncation(s). | | | | | | | | | | |
| | 60/254,723 (Application Number) | December 11, 2 (Filing Date) | 2000 | | | | | | | | |
| | (Application Number) | (Filing Date) | | | | | | | | | |
| | (Application Number) | (Filing Date) | | | | | | | | | |

[X] The above-identified provisional application(s) is/are assigned

of record to: Silverback Technologies, Inc.

TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

SUBMIT IN TRIPLICATE

DAD/kdm/263591